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Dated: May 23, 2007

Signature: 

(Lori Sims)

Patent

Docket No. 577712000200

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Stephen TOMLINSON et al.

Serial No.: 10/534,772

International Filing Date: November 13, 2003

International Appl. No.: PCT/US2003/036459

For: COMPLEMENT RECEPTOR 2  
TARGETED COMPLEMENT  
MODULATORS

Examiner: Not Yet Assigned

Group Art Unit: 1653

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

pa-1146250

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.W./

Application Serial No. 10/534,772

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This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

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(iii) the information, protocols, results and the like reported by third parties are accurate or enabling;  
or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **577712000200**.

Dated: May 23, 2007

Respectfully submitted,

By 

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ALTERNATIVE TO PTO/SB/08A/B (09/06)

Substitute for form 1449/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			Application Number	10/534,772	
			Filing Date	(Int'l.) November 13, 2003	
			First Named Inventor	Stephen TOMLINSON	
			Art Unit	1653	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	8	Attorney Docket Number	577712000200

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US-2005/0265995-A1	12-01-2005	Tomlinson et al.	
	2.	US-2006/0014681-A1	01-19-2006	Chen et al.	
	3.	US-5,212,071-A	05-18-1993	Fearon et al.	
	4.	US-5,328,470-A	07-12-1994	Nabel et al.	
	5.	US-5,472,939-A	12-05-1995	Fearon et al.	
	6.	US-5,851,528-A	12-22-1998	Ko et al.	
	7.	US-5,949,562-A	09-07-1999	Kubota et al.	
	8.	US-5,949,961-A	09-07-1999	Sharma	
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	15.	US-6,521,450-B1	02-18-2003	Atkinson et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code* - Number* - Kind Code* (if known)					
	17.	EP-0 402 226-A1		12-12-1990	Institut National de la Recherche Agronomique		
	18.	WO-91/16437-A1		10-31-1991	The Johns Hopkins University		
	19.	WO-98/07835-A2, A3		02-26-1998	Sugen, Inc.		
	20.	WO-04/103288-A2, A3		12-02-2004	New York Society for the Ruptured and Crippled Maintaining the Hospital for Special Surgery et al.		

\*EXAMINER. Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				†
	21.	Abrahamsen, L. et al. (April 30, 1991). "Engineering Subtilisin and Its Substrates for Efficient Ligation of Peptide Bonds in Aqueous Solution." <i>Biochemistry</i> 30(17):4151-4159.				
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Examiner Signature	Date Considered
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pa-1146261

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ALTERNATIVE TO PTO/SB/08A/B (09/06)

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Examiner Signature	Date Considered
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pa-1146261

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.W./

ALTERNATIVE TO PTO/SB/C8A/B (09/06)

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Examiner Signature	Date Considered
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Sheet	5	of	8	Attorney Docket Number	577712000200

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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